

On the singular elements of a semisimple Lie algebra and the generalized Amitsur-Levitski Theorem

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1. Introduction

I connect an old result of mine on a Lie algebra generalization of the Amitsur-Levitski Theorem with recent results of Kostant-Wallach on the variety of singular elements in a reductive Lie algebra.

Let R be an associative ring and for any $k \in \mathbb{Z}$ and x_1, \dots, x_k , in R one defines an alternating sum of products

$$[[x_1, \dots, x_k]] = \sum_{\sigma \in \text{Sym } k} \text{sg}(\sigma) x_{\sigma(1)} \cdots x_{\sigma(k)}. \quad (1)$$

One says that R satisfies the standard identity of degree k if $[[x_1, \dots, x_k]] = 0$ for any choice of the $x_i \in R$. Of course R is commutative if and only if it satisfies the standard identity of degree 2.

Now for any $n \in \mathbb{Z}$ and field F , let $M(n, F)$ be the algebra of $n \times n$ matrices over F .

The following is the famous Amitsur-Levitski theorem.

Theorem 1. $M(n, F)$ satisfies the standard identity of degree $2n$.

Remark 1. By restricting to matrix units for a proof it suffices to take $F = \mathbb{C}$.

Without any knowledge that it was a known theorem, we came upon Theorem 1 a long time ago, from the point of view of Lie algebra cohomology [1]. In fact, the result follows from the fact that if $\mathfrak{g} = M(n, \mathbb{C})$, then the restriction to \mathfrak{g} of the primitive cohomology class of degree $2n + 1$ of $M(n + 1, \mathbb{C})$ to \mathfrak{g} vanishes.

Of course $\mathfrak{g}_1 \subset \mathfrak{g}$ where $\mathfrak{g}_1 = \text{Lie SO}(n, \mathbb{C})$. Assume n is even. One proves that the restriction to \mathfrak{g}_1 of the primitive class of degree $2n - 1$ (highest primitive class) of \mathfrak{g} vanishes on \mathfrak{g}_1 . This leads to a new standard identity, namely

Theorem 2.

$$[[x_1, \dots, x_{2n-2}]] = 0 \tag{2}$$

for any choice of $x_i \in \mathfrak{g}_1$. That is any choice of skew-symmetric matrices.

Remark 2. Theorem 2 is immediately evident when $n = 2$.

Theorems 1 and 2 suggest that standard identities can be viewed as a subject in Lie theory. Theorem 3 below offers support for this idea.

Let \mathfrak{t} be a complex reductive Lie algebra and let

$$\pi : \mathfrak{t} \rightarrow \text{End } V \tag{3}$$

be a finite-dimensional complex completely reducible representation.

If $w \in \mathfrak{t}$ is nilpotent, then $\pi(w)^k = 0$ for some $k \in \mathbb{Z}$. Let $\varepsilon(\pi)$ be the minimal integer k such that $\pi(w)^k = 0$ for all nilpotent $w \in \mathfrak{t}$. In case π is irreducible, one can easily give a formula for $\varepsilon(\pi)$ in terms of the highest weight. If \mathfrak{g} (resp. \mathfrak{g}_1) is given as above and π (resp. π_1) is the defining representation, then $\varepsilon(\pi) = n$ and $\varepsilon(\pi_1) = n - 1$.

Consequently, the following theorem generalizes Theorems 1 and 2.

Theorem 3. *Let \mathfrak{t} be a complex reductive Lie algebra and let π be as above. Then for any $x_i \in \mathfrak{t}$, $i = 1, \dots, 2\varepsilon(\pi)$, one has*

$$[[\hat{x}_1, \dots, \hat{x}_{2\varepsilon(\pi)}]] = 0 \quad (4)$$

where $\hat{x}_i = \pi(x_i)$. See [4].

2. Henceforth \mathfrak{g} , until mentioned otherwise, will be an arbitrary reductive complex finite-dimensional Lie algebra. Let $T(\mathfrak{g})$ be the tensor algebra over \mathfrak{g} and let $S(\mathfrak{g}) \subset T(\mathfrak{g})$ (resp. $A(\mathfrak{g}) \subset T(\mathfrak{g})$) be the subspace of symmetric (resp. alternating) tensors in $T(\mathfrak{g})$.

The natural grading on $T(\mathfrak{g})$ restricts to a grading on $S(\mathfrak{g})$ and $A(\mathfrak{g})$. In particular, where multiplication is the tensor product one notes the following.

Proposition 1. *$A^j(\mathfrak{g})$ is the span of $[[x_1, \dots, x_j]]$ over all choices of $x_i, i = 1, \dots, j$, in \mathfrak{g} .*

Now let $U(\mathfrak{g})$ be the universal enveloping algebra of \mathfrak{g} . Then $U(\mathfrak{g})$ is the quotient algebra of $T(\mathfrak{g})$ so that there is an algebra epimorphism

$$\tau : T(\mathfrak{g}) \rightarrow U(\mathfrak{g}).$$

Let $Z = \text{Cent } U(\mathfrak{g})$ and let $E \subset U(\mathfrak{g})$ be the graded subspace spanned by all powers $e^j, j = 1, \dots$, where $e \in \mathfrak{g}$ is nilpotent. In [2] we proved (where the tensor product identifies with multiplication)

$$U(\mathfrak{g}) = Z \otimes E. \quad (5)$$

In [4] we proved

Theorem 4. For any $k \in (\mathbb{Z})$ one has

$$\tau(A^{2k}(\mathfrak{g})) \subset E^k. \quad (6)$$

Theorem 3 is then an immediate consequence of Theorem 4.

Indeed, using the notation of Theorem 3, let $\pi_U : U(\mathfrak{g}) \rightarrow \text{End } V$ be the algebra extension of π to $U(\mathfrak{g})$, and one then has

Theorem 5. *If $E^k \subset \text{Ker } \pi_U$, then*

$$[[\hat{x}_1, \dots, \hat{x}_{2k}]] = 0 \tag{7}$$

for any x_i, \dots, x_{2k} in \mathfrak{g} .

3. The Poincaré-Birkhoff-Witt theorem says that the restriction $\tau : S(\mathfrak{g}) \rightarrow U(\mathfrak{g})$ is a linear isomorphism. Consequently, given any $t \in T(\mathfrak{g})$ there exists a unique element \bar{t} in $S(\mathfrak{g})$ such that

$$\tau(t) = \tau(\bar{t}). \quad (8)$$

Let $A^{even}(\mathfrak{g})$ be the span of alternating tensors of even degree. Restricting to $A^{even}(\mathfrak{g})$ one has a \mathfrak{g} -module map

$$\Gamma_T : A^{even}(\mathfrak{g}) \rightarrow S(\mathfrak{g})$$

defined so that if $a \in A^{even}(\mathfrak{g})$, then

$$\tau(a) = \tau(\Gamma_T(a)). \quad (9)$$

Now the (commutative) symmetric algebra $P(\mathfrak{g})$ over \mathfrak{g} and exterior algebra $\wedge \mathfrak{g}$ are quotient algebras of $T(\mathfrak{g})$. The restriction of the quotient map clearly induces \mathfrak{g} -module isomorphisms

$$\tau_S : S(\mathfrak{g}) \rightarrow P(\mathfrak{g}) \quad (10)$$

$$\tau_A : A^{\text{even}}(\mathfrak{g}) \rightarrow \wedge^{\text{even}} \mathfrak{g}$$

where $\wedge^{\text{even}} \mathfrak{g}$ is the commutative subalgebra of $\wedge \mathfrak{g}$ spanned by elements of even degree.

We may complete the commutative diagram defining

$$\Gamma : \wedge^{\text{even}} \mathfrak{g} \rightarrow P(\mathfrak{g}) \quad (11)$$

so that on $A^{\text{even}}(\mathfrak{g})$, one has

$$\tau_S \circ \Gamma_T = \Gamma \circ \tau_A. \quad (12)$$

By (6) one notes that for $k \in \mathbb{Z}$ one has

$$\Gamma : \wedge^{2k} \mathfrak{g} \rightarrow P^k(\mathfrak{g}). \quad (13)$$

The Killing form extends to a nonsingular symmetric bilinear form on $P(\mathfrak{g})$ and $\wedge \mathfrak{g}$. This enables us to identify $P(\mathfrak{g})$ with the algebra of polynomial functions on \mathfrak{g} and to identify $\wedge \mathfrak{g}$ with its dual space $\wedge \mathfrak{g}^*$ where \mathfrak{g}^* is the dual space to \mathfrak{g} .

Let $R^k(\mathfrak{g})$ be the image of (13) so that $R^k(\mathfrak{g})$ is a \mathfrak{g} -module of homogeneous polynomial functions of degree k on \mathfrak{g} . The significance of $R^k(\mathfrak{g})$ has to do with the dimensions of $\text{Ad } \mathfrak{g}$ adjoint (= coadjoint) orbits. Any such orbit is symplectic and hence is even dimensional.

For $j \in \mathbb{Z}$ let $\mathfrak{g}^{(2j)} = \{x \in \mathfrak{g} \mid \dim [\mathfrak{g}, x] = 2j\}$.

We recall that a $2j$ \mathfrak{g} -sheet is an irreducible component of $\mathfrak{g}^{(2j)}$.

Let $\text{Var } R^k(\mathfrak{g}) = \{x \in \mathfrak{g} \mid p(x) = 0, \forall p \in R^k(\mathfrak{g})\}$.

We prove the following.

Theorem 6. *One has*

$$\text{Var } R^k(\mathfrak{g}) = \cup_{2j < 2k} \mathfrak{g}^{(2j)} \quad (14)$$

or that $\text{Var } R^k(\mathfrak{g})$ is the set of all $2j$ \mathfrak{g} -sheets for $j < k$.

Let γ be the transpose of Γ . Thus

$$\gamma : P(\mathfrak{g}) \rightarrow \wedge^{\text{even}} \mathfrak{g} \quad (15)$$

and one has for $p \in P(\mathfrak{g})$ and $u \in \wedge \mathfrak{g}$,

$$(\gamma(p), u) = (p, \Gamma(u)). \quad (16)$$

One also notes that

$$\gamma : P^k(\mathfrak{g}) \rightarrow \wedge^{2k} \mathfrak{g}. \quad (17)$$

The proof of Theorem 6 depends upon establishing some nice algebraic properties of γ . Since we have, via the Killing form, identified \mathfrak{g} with its dual, $\wedge \mathfrak{g}$ is the underlying space for a standard cochain complex $(\wedge \mathfrak{g}, d)$ where d is the coboundary operator of degree $+1$. In particular, if $x \in \mathfrak{g}$, then $dx \in \wedge^2 \mathfrak{g}$. Identifying \mathfrak{g} here with $P^1(\mathfrak{g})$ one has a map

$$P^1(\mathfrak{g}) \rightarrow \wedge^2 \mathfrak{g}. \quad (18)$$

Theorem 7. *The map (15) is the homomorphism of commutative algebras extending (18). In particular for any $x \in \mathfrak{g}$,*

$$\gamma(x^k) = (dx)^k. \quad (19)$$

The connection with Theorem 6 follows from

Proposition 2. *Let $x \in \mathfrak{g}$. Then $x \in \mathfrak{g}^{(2k)}$ if and only if k is maximal such that $(dx)^k \neq 0$, in which case there is a scalar $c \in \mathbb{C}^\times$ such that*

$$(dx)^k = c w_1 \wedge \cdots \wedge w_{2k} \tag{20}$$


where $w_i, i = 1, \dots, 2k$, is a basis of $[x, \mathfrak{g}]$.

3. On the variety of singular elements – joint work with Nolan Wallach

Let \mathfrak{h} be a Cartan subalgebra of \mathfrak{g} and let $\ell = \dim \mathfrak{h}$, so $\ell = \text{rank } \mathfrak{g}$. Let Δ be the set of roots of $(\mathfrak{h}, \mathfrak{g})$ and let $\Delta_+ \subset \Delta$ be a choice of positive roots. Let $r = \text{card } \Delta_+$ so that $n = \ell + 2r$ where we fix $n = \dim \mathfrak{g}$. We assume a well ordering is defined on Δ_+ .

For any $\varphi \in \Delta$, let e_φ be a corresponding root vector. The choices will be normalized only insofar as $(e_\varphi, e_{-\varphi}) = 1$ for all $\varphi \in \Delta$. From Proposition 2 one has the well-known fact that $\mathfrak{g}^{(2k)} = 0$ for $k > r$ and $\mathfrak{g}^{(2r)}$ is the set of all regular elements in \mathfrak{g} . One also notes then that (16) implies $\text{Var } R^k(\mathfrak{g})$ reduces to 0 if $k > r$, whereas Theorem 6 implies

$$\text{Var } R^r(\mathfrak{g}) \text{ is the set of all singular elements in } \mathfrak{g}. \quad (21)$$

The paper [5] is mainly devoted to a study of a special construction of $R^r(\mathfrak{g})$ and a determination of its remarkable \mathfrak{g} -module structure. 

Let $J = P(\mathfrak{g})^{\mathfrak{g}}$ so that J is the ring of $\text{Ad } \mathfrak{g}$ polynomial invariants. It is a classic theorem of C. Chevalley that J is a polynomial ring in ℓ -homogeneous generators p_i so that we can write

$$J = \mathbb{C}[p_1, \dots, p_\ell].$$

Let $d_i = \deg p_i$. Then if we put $m_i = d_i - 1$, the m_i are referred to as the exponents of \mathfrak{g} , and one knows that

$$\sum_{i=1}^{\ell} m_i = r. \quad (22)$$

Let $\text{Diff } P(\mathfrak{g})$ be the algebra of differential operators on $P(\mathfrak{g})$ with constant coefficients. One then has an algebra isomorphism

$$P(\mathfrak{g}) \rightarrow \text{Diff } P(\mathfrak{g}), \quad q \mapsto \partial_q$$

where for $p, q, f \in P(\mathfrak{g})$ one has

$$(\partial_q p, f) = (p, qf) \quad (23)$$

and ∂_x , for $x \in \mathfrak{g}$, is the partial derivative defined by x .

Let $J_+ \subset J$ be the J -ideal of all $p \in J$ with zero constant term and let

$$H = \{q \in P(\mathfrak{g}) \mid \partial_p q = 0 \forall p \in J_+\}.$$

H is a graded \mathfrak{g} -module whose elements are called harmonic polynomials. Then one knows ([2]) that where tensor product is realized by polynomial multiplication,

$$P(\mathfrak{g}) = J \otimes H. \quad (24)$$

It is immediate from (23) that H is the orthocomplement of the ideal $J_+P(\mathfrak{g})$ in $P(\mathfrak{g})$. However since γ is an algebra homomorphism, one has

$$J_+P(\mathfrak{g}) \subset \text{Ker } \gamma \quad (25)$$

since one easily has that $J_+ \subset \text{Ker } \gamma$.

Indeed this is clear since

$$\begin{aligned} \gamma(J_+) &\subset d(\wedge \mathfrak{g}) \cap (\wedge \mathfrak{g})^{\mathfrak{g}} \\ &= 0. \end{aligned}$$

But then (16) implies

Theorem 8. *For any $k \in \mathbb{Z}$ one has*

$$R^k(\mathfrak{g}) \subset H.$$

Henceforth assume \mathfrak{g} is simple so that the adjoint representation is irreducible. Let $y_j, j = 1, \dots, n$, be a basis of \mathfrak{g} . One defines a $\ell \times n$ matrix $Q = Q_{ij}, i = 1, \dots, \ell, j = 1, \dots, n$ by putting

$$Q_{ij} = \partial_{y_j} p_i. \quad (26)$$

Let $S_i, i = 1, \dots, \ell$, be the span of the entries of Q in the i^{th} row. The following is immediate.

Proposition 3. *$S_i \subset P_{m_i}(\mathfrak{g})$. Furthermore S_i is stable under the action of \mathfrak{g} and as a \mathfrak{g} -module S_i transforms according to the adjoint representation.*

If V is a \mathfrak{g} -module let V_{ad} be the set of all of vectors in V which transform according to the adjoint representation. The equality (24) readily implies $P(\mathfrak{g})_{ad} = J \otimes H_{ad}$.

I proved the following result some time ago.

Theorem 9. *The multiplicity of the adjoint representation in H_{ad} is ℓ . Furthermore the invariants p_i can be chosen so that $S_i \subset H_{ad}$ for all i and the $S_i, i = 1, \dots, \ell$, are indeed the ℓ occurrences of the adjoint representation in H_{ad} .*

Clearly there are $\binom{n}{\ell}$ $\ell \times \ell$ minors in the matrix Q . The determinant of any of these minors is an element of $P^r(\mathfrak{g})$ by (22). In [5] we exhibit $R^r(\mathfrak{g})$ by proving

Theorem 10. *The determinant of any $\ell \times \ell$ minor of Q is an element of $R^r(\mathfrak{g})$ and indeed $R^r(\mathfrak{g})$ is the span of the determinants of all these minors.*

4. The \mathfrak{g} -module structure of $R^r(\mathfrak{g})$

The adjoint action of \mathfrak{g} on $\wedge \mathfrak{g}$ extends to $U(\mathfrak{g})$ so that $\wedge \mathfrak{g}$ is a $U(\mathfrak{g})$ -module. If $\mathfrak{s} \subset \mathfrak{g}$ is any subspace and $k = \dim \mathfrak{s}$, let $[\mathfrak{s}] = \wedge^k \mathfrak{s}$ so that $[\mathfrak{s}]$ is a 1-dimensional subspace of $\wedge^k \mathfrak{g}$.

Let $M_k \subset \wedge^k \mathfrak{g}$ be the span of all $[\mathfrak{s}]$ where \mathfrak{s} is any k -dimensional commutative Lie subalgebra of \mathfrak{g} . If no such subalgebra exists, put $M_k = 0$.

It is clear that M_k is a \mathfrak{g} -submodule of $\wedge^k \mathfrak{g}$. Let $C_{\text{as}} \in Z$ be the Casimir element corresponding to the Killing form. The following theorem was proved in [3].

Theorem 11. For any $k \in \mathbb{Z}$ let m_k be the maximal eigenvalue of Cas on $\wedge^k \mathfrak{g}$. Then $m_k \leq k$. Moreover $m_k = k$ if and only if $M_k \neq 0$ in which case M_k is the eigenspace for the maximal eigenvalue k .

Let Φ be a subset of Δ . Let $k = \text{card } \Phi$ and write, in increasing order,

$$\Phi = \{\varphi_1, \dots, \varphi_k\} \quad (27)$$

Let

$$e_\Phi = e_{\varphi_1} \wedge \dots \wedge e_{\varphi_k}$$

so that $e_\Phi \in \wedge^k \mathfrak{g}$ is a (\mathfrak{h}) weight vector with weight

$$\langle \Phi \rangle = \sum_{i=1}^k \varphi_i.$$

Let \mathfrak{n} be the Lie algebra spanned by e_φ for $\varphi \in \Delta_+$ and let \mathfrak{b} be the Borel subalgebra of \mathfrak{g} defined by putting $\mathfrak{b} = \mathfrak{h} + \mathfrak{n}$.

Now a subset $\Phi \subset \Delta_+$ will be called an ideal in Δ_+ if the span, \mathfrak{n}_Φ , of e_φ , for $\varphi \in \Phi$, is an ideal of \mathfrak{b} .

In such a case $\mathbb{C}e_\Phi$ is stable under the action of \mathfrak{b} and hence if $V_\Phi = U(\mathfrak{g}) \cdot e_\Phi$ then, where $k = \text{card } \Phi$,

$$V_\Phi \subset \wedge^k \mathfrak{g}$$

is an irreducible \mathfrak{g} -module of highest weight $\langle \Phi \rangle$ having $\mathbb{C}e_\Phi$ as the highest weight space.

We will say that Φ is abelian if \mathfrak{n}_Φ is an abelian ideal of \mathfrak{b} .

Let

$$\mathcal{A}(k) = \{\Phi \mid \Phi \text{ is an abelian ideal of cardinality } k \text{ in } \Delta_+\}.$$

The following theorem was established in [3].

Theorem 12. *If Φ, Ψ are distinct ideals in Δ_+ , then V_Φ and V_Ψ are inequivalent (i.e., $\langle \Phi \rangle \neq \langle \Psi \rangle$). Furthermore if $M_k \neq 0$, then*

$$M_k = \bigoplus_{\Phi \in \mathcal{A}(k)} V_\Phi \quad (28)$$

so that, in particular, M_k is a multiplicity 1 \mathfrak{g} -module.

We now focus on the case where $k = \ell$.

Clearly $M_\ell \neq 0$ since \mathfrak{g}^x is an abelian subalgebra of dimension ℓ for any regular $x \in \mathfrak{g}$. Let $\mathcal{I}(\ell)$ be the set of all ideals of cardinality ℓ .

The following is one of the main results in [5].

Theorem 13. One has $\mathcal{I}(\ell) = \mathcal{A}(\ell)$ so that

$$M_\ell = \bigoplus_{\Phi \in \mathcal{I}(\ell)} V_\Phi. \quad (29)$$

Moreover as \mathfrak{g} -modules, one has the equivalence

$$R^r(\mathfrak{g}) \cong M_\ell, \quad (30)$$

so that $R^r(\mathfrak{g})$ is a multiplicity 1 \mathfrak{g} -module with $\text{card } \mathcal{I}(\ell)$ irreducible components and Cas takes the value ℓ on each and every one of the $\mathcal{I}(\ell)$ distinct components.

Example. If \mathfrak{g} is of type A_ℓ , then then the elements of $\mathcal{I}(\ell)$ can be identified with Young diagrams of size ℓ . In this case therefore the number of irreducible components in $R^r(\mathfrak{g})$ is $P(\ell)$ where P here is the classical partition function.

5. Appendix: On an explicit construction for $R^k(\mathfrak{g})$ for any k

We wish to explicitly describe this module. Let $\text{Sym}(2k, 2)$ be the subgroup of the symmetric group $\text{Sym}(2k)$, defined by

$$\begin{aligned} \text{Sym}(2k, 2) = \\ \{ \sigma \in \text{Sym}(2k) \mid \sigma \text{ permutes the set of unordered pairs} \\ \{(1, 2), (3, 4), \dots, (2k - 1), 2k\} \} \end{aligned}$$

That is, if $\sigma \in \text{Sym}(2k, 2)$ and $1 \leq i \leq k$, there exists $1 \leq j \leq k$ such that as unordered sets

$$(\sigma(2i - 1), \sigma(2i)) = ((2j - 1), 2j).$$

It is clear that $\text{Sym}(2k, 2)$ is a subgroup of order $2^k \cdot k!$. Let $\Pi(k)$ be a cross-section of the set of left cosets of $\text{Sym}(2k, 2)$ in $\text{Sym}(2k)$ so that one has a disjoint union

$$\text{Sym}(2k) = \cup_{\nu} \text{Sym}(2k, 2) \quad (31)$$

indexed by $\nu \in \Pi(k)$.

Remark 3. One notes that the cardinality of $\Pi(k)$ is $(2k - 1)(2k - 3) \cdots 1$ and the correspondence

$$\nu \mapsto ((\nu(1), \nu(2)), (\nu(3), \nu(4)), \dots, \nu((2k - 1), \nu(2k)))$$

sets up a bijection of $\Pi(k)$ with the set of all partitions of $(1, 2, \dots, 2k)$ into a union of subsets, each of which have two elements.

We also observe that $\Pi(k)$ may be chosen – and will be chosen – such that $sg \nu = 1$ for all $\nu \in \Pi(k)$. This is clear since the sg character is not trivial on $\text{Sym}(2k, 2)$ for $k \geq 1$.

Theorem 14 *For any $k \in \mathbb{Z}$ there exists a nonzero scalar c_k such that for any x_i $i = 1, \dots, 2k$, in \mathfrak{g}*

$$\Gamma(x_1 \wedge \cdots \wedge x_{2k}) = c_k \sum_{\nu \in \Pi(k)} [x_{\nu(1)}, x_{\nu(2)}] \cdots [x_{\nu(2k-1)}, x_{\nu(2k)}] \quad (32)$$

Furthermore, Furthermore the homogeneous polynomial of degree k on the right of (32) is harmonic and $R^k(\mathfrak{g})$ is the span of all such polynomials for an arbitrary choice of the x_i .

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