

# Parameters for Representations of Real Groups

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The basic references are [7] and [6]. The parameters given in these notes only exist in the unpublished preprint [4]. The case of regular integral infinitesimal character is discussed in [1]. Everything appears, sometimes in somewhat different form, in [2].

## 1 Algebraic Groups and Root Data

A root datum is a quadruple

$$D = (X, \Delta, X^\vee, \Delta^\vee)$$

where  $X, X^\vee$  are free abelian groups of finite rank, and  $\Delta, \Delta^\vee$  are finite subsets of  $X, X^\vee$ , respectively. In addition there is a perfect pairing  $\langle \cdot, \cdot \rangle : X \times X^\vee \rightarrow \mathbb{Z}$  so  $X^\vee \simeq \text{Hom}(X, \mathbb{Z})$ . There must exist a bijection  $\alpha \rightarrow \alpha^\vee : \Delta \rightarrow \Delta^\vee$  such that for all  $\alpha \in \Delta$ ,

$$\langle \alpha, \alpha^\vee \rangle = 2, s_\alpha(\Delta) = \Delta, s_{\alpha^\vee}(\Delta^\vee) = \Delta^\vee.$$

Here  $s_\alpha(x) = x - \langle x, \alpha^\vee \rangle \alpha$  and  $s_{\alpha^\vee}(y) = y - \langle \alpha, y \rangle \alpha^\vee$  ( $x \in X, y \in X^\vee$ ).

By [3, Lemma VI.1.1] (applied to  $\mathbb{Z}\langle \Delta \rangle$  and  $\mathbb{Z}\langle \Delta^\vee \rangle$ ) the conditions determine the bijection uniquely once  $\Delta$  and  $\Delta^\vee$  are given. In particular  $(X, \Delta, X^\vee, \Delta^\vee)$  is determined by  $(X, \Delta)$  if  $Z\langle \Delta \rangle = X$ . This condition holds if and only if the corresponding group is semisimple.

Suppose  $\Delta^+$  is a set of positive roots of  $\Delta$ . Then  $\Delta^{\vee+} = \{\alpha^\vee \mid \alpha \in \Delta^+\}$  is a set of positive roots of  $\Delta^\vee$ , and

$$D_b = (X, \Delta^+, X^\vee, \Delta^{\vee+})$$

is a *based root datum*. Alternatively we may replace  $\Delta^+$  with a set  $\Pi$  of simple roots.

Two root systems are isomorphic if there exists an isomorphism  $\phi : X \rightarrow X'$  such that  $\phi(\Delta) = \Delta'$  and  $\phi^t(\Delta'^\vee) = \Delta^\vee$ . Here  $\phi^t : X'^\vee \rightarrow X$  is given by

$$(1.1) \quad \langle \phi(x), y' \rangle = \langle x, \phi^t(y') \rangle \quad (x \in X, y' \in X'^\vee).$$

Let  $\mathbb{G}$  be a connected reductive algebraic group and choose a Cartan subgroup  $\mathbb{T}$ . The corresponding root data is

$$D = (X^*(\mathbb{T}), \Delta, X_*(\mathbb{T}), \Delta^\vee)$$

where  $X^*(\mathbb{T}) = \text{Hom}(\mathbb{T}, \mathbb{G}_m)$ ,  $X_*(\mathbb{T}) = \text{Hom}(\mathbb{G}_m, \mathbb{T})$ ,  $\Delta = \Delta(\mathbb{G}, \mathbb{T})$  is the set of roots of  $\mathbb{T}$  in  $\mathbb{G}$ , and  $\Delta^\vee = \Delta^\vee(\mathbb{G}, \mathbb{T})$  is the set of co-roots.

If  $\mathbb{T}'$  is another Cartan subgroup the associated root data is isomorphic to the given one. This isomorphism is canonical up to the Weyl group  $W = W(\mathbb{G}, \mathbb{T})$ .

Given a Borel subgroup  $\mathbb{B}$  containing  $\mathbb{T}$  we get a set of positive roots  $\Delta^+$ , and corresponding positive coroots  $\Delta^{\vee+}$ . Associated to this is a based root datum

$$D = (X^*(\mathbb{T}), \Delta^+, X_*(\mathbb{T}), \Delta^{\vee+}).$$

Given another choice of  $\mathbb{T}' \subset \mathbb{B}'$  there is a *canonical* isomorphism of associated based root data.

There is an exact sequence

$$(1.2) \quad 1 \rightarrow \text{Int}(\mathbb{G}) \rightarrow \text{Aut}(\mathbb{G}) \rightarrow \text{Out}(\mathbb{G}) \rightarrow 1$$

where  $\text{Int}(\mathbb{G})$  is the group of inner automorphisms of  $\mathbb{G}$ ,  $\text{Aut}(\mathbb{G})$  is the automorphism group of  $\mathbb{G}$ , and  $\text{Out}(\mathbb{G}) \simeq \text{Aut}(\mathbb{G})/\text{Int}(\mathbb{G})$  is the group of outer automorphisms. If we let  $Z(\mathbb{G})$  be the center of  $\mathbb{G}$  then  $\text{Int}(\mathbb{G}) \simeq \mathbb{G}/Z(\mathbb{G})$ , also known as  $\mathbb{G}_{ad}$  (which is a semisimple group).

A *splitting datum* for  $\mathbb{G}$  is a set

$$(1.3) \quad (\mathbb{B}, \mathbb{T}, \{X_\alpha\})$$

where  $\mathbb{B}$  is a Borel subgroup,  $\mathbb{T}$  is a Cartan subgroup contained in  $\mathbb{B}$ ,  $\Pi$  is the set of simple roots associated to  $\mathbb{B}$ , and  $\{X_\alpha \mid \alpha \in \Pi\}$  is a set of simple root vectors. This is also referred to as an *epinglage* or a *pinning*.

The group  $\text{Int}(\mathbb{G})$  acts simply transitively on the set of splitting data. It follows that if  $S = (\mathbb{B}, \mathbb{T}, \{X_\alpha\})$  is a splitting datum  $S$  then

$$\text{Stab}_{\text{Aut}(\mathbb{G})}(S) \simeq \text{Out}(\mathbb{G})$$

and this isomorphism gives a splitting of the exact sequence (1.2). Furthermore since any automorphism may be modified by an inner automorphism to fix  $\mathbb{B}$  and  $\mathbb{T}$  (as sets) and act as a permutation on  $\{X_\alpha\}$ . It follows that

$$\text{Out}(\mathbb{G}) \simeq \text{Aut}(D_b)$$

In particular If  $\mathbb{G}$  is semisimple then  $\text{Out}(\mathbb{G})$  is isomorphic to the automorphisms of the Dynkin diagram of  $\mathbb{G}$ .

$$(1.4)(a) \quad \text{Out}(\mathbb{G}) \simeq \text{Aut}(D_b).$$

We also have

$$(1.4)(b) \quad \text{Out}(\mathbb{G}) \simeq \text{Aut}(D)/W.$$

Fix  $\gamma \in \text{Out}(\mathbb{G})$ . Define

$$(1.5) \quad Z(\mathbb{G})^\gamma = \{z \in Z(\mathbb{G}) \mid s(\gamma)zs(\gamma)^{-1} = z\}$$

This is independent of the choice of a splitting  $s$  of (1.2).

If  $\mathbb{G} = \mathbb{T}$  is a torus an automorphism  $\theta$  is determined by an automorphism of  $X_*(\mathbb{T})$ , i.e. an element of  $GL(n, \mathbb{Z})$ . If  $\theta$  has order 2 then there is a basis

$$x_1, \dots, x_r, y_1, \dots, y_s, z_1, z'_1, \dots, z_t, z'_t$$

of  $X_*(\mathbb{T})$  so that  $\theta(x_i) = x_i$ ,  $\theta(y_i) = -y_i$ , and  $\theta(z_i) = z'_i$ ,  $\theta(z'_i) = z_i$ .

In general  $\mathbb{G} = \mathbb{T}\mathbb{G}_d$  where  $\mathbb{T} = Z(\mathbb{G})^0$  is a central torus, and an automorphism is given by automorphisms of  $\mathbb{T}$  and  $\mathbb{G}_d$ , which agree on  $\mathbb{T} \cap \mathbb{G}_d$ .

## 2 The Dual Group and the Dual Automorphism

Suppose we are given  $\mathbb{G}$  with corresponding root data  $D = (X, \Delta, X^\vee, \Delta^\vee)$ . The *dual root data* is  $D^\vee = (X^\vee, \Delta^\vee, X, \Delta)$ , and the *dual group* is the group  $\mathbb{G}^\vee$  defined by  $D^\vee$ . Alternatively we may describe  $\mathbb{G}$  and  $\mathbb{G}^\vee$  in terms of their based root data  $D_b$  and  $D_b^\vee$ .

If  $\tau \in \text{Aut}(D)$  then  $-\tau^t \in \text{Aut}(D^\vee)$  cf. Section 1).

Note that if  $\tau \in \text{Aut}(D_b)$  then  $-\tau^t$  is probably contained in  $\text{Aut}(D_b^\vee)$ . However  $-w_0\tau^t \in \text{Aut}(D_b^\vee)$  where  $w_0$  is the long element of the Weyl group. We define  $\tau^\vee = -w_0\tau^t$ , this defines an isomorphism

$$\text{Aut}(D_b) \ni \tau \rightarrow \tau^\vee \in \text{Aut}(D_b^\vee)$$

By (1.4)(a) we obtain a bijection (not a group homomorphism) also denoted  $\tau \rightarrow \tau^\vee$

$$\text{Out}(\mathbb{G}) \simeq \text{Out}(\mathbb{G}^\vee).$$

**Definition 2.1** For  $\gamma \in \text{Out}(\mathbb{G})$  define  $\gamma^\vee \in \text{Out}(\mathbb{G}^\vee)$  by (??).

## 3 Real Forms of $\mathbb{G}$

To say that  $\mathbb{G}$  is defined over  $\mathbb{R}$  means that there is an anti-holomorphic involution  $\sigma$  of  $\mathbb{G}(\mathbb{C})$ . Then  $G(\mathbb{R}) = \mathbb{G}(\mathbb{C})^\sigma$ , and we will write  $G = \mathbb{G}(\mathbb{R})$ . We say  $\sigma$  is equivalent to  $\sigma'$  if  $\sigma' = \text{int}(g) \circ \sigma \circ \text{int}(g^{-1})$  for some  $g \in \mathbb{G}$ , i.e.

$$\sigma(x) = g\sigma(g^{-1}xg)g^{-1} \quad (x \in \mathbb{G}(\mathbb{C})).$$

An involution of  $\mathbb{G}$ , i.e. an algebraic automorphism of  $\mathbb{G}$  of order 2, may be considered a holomorphic involution of  $\mathbb{G}(\mathbb{C})$ . We say involutions  $\theta, \theta'$  are equivalent if  $\theta = \text{int}(g) \circ \theta' \circ \text{int}(g^{-1})$  for some  $g \in \mathbb{G}$ .

Suppose  $\mathbb{G}$  is defined over  $\mathbb{R}$ , with corresponding anti-holomorphic involution  $\sigma$ . We may choose an involution  $\theta$  of  $\mathbb{G}$ , a ‘‘Cartan involution’’, such that  $K = G^\theta$  is a maximal compact subgroup of  $G$ . Then  $\mathbb{K} = \mathbb{G}^\theta$  is the algebraic group corresponding to  $K$ , and  $\mathbb{K}(\mathbb{C}) = \mathbb{G}(\mathbb{C})^\theta$ .

**Lemma 3.1** *The map taking an anti-holomorphic involution  $\sigma$  to a corresponding Cartan involution  $\theta$  is a bijection between equivalence classes of real forms and equivalence classes of involutions.*

We work entirely with Cartan involutions.

**Definition 3.2** *We say two involutions  $\theta, \theta'$  are inner if they have the same image in  $\text{Out}(\mathbb{G})$ , i.e. there exists  $g \in G$  such that  $\theta' = \text{int}(g) \circ \theta$ , or*

$$\theta'(x) = g\theta(x)g^{-1}. \quad (x \in \mathbb{G}).$$

*This is an equivalence relation, and an equivalence class is called an inner class. Such a class is determined by an involution  $\gamma \in \text{Out}(\mathbb{G})$ , and we refer to  $\gamma$  as an inner class.*

Note that if  $\theta$  is equivalent to  $\theta'$  then  $\theta$  is inner to  $\theta'$ .

**Definition 3.3** *We say two real forms of  $\mathbb{G}$  are inner if their Cartan involutions  $\theta, \theta'$  are inner.*

In fact two real forms are inner to each other if and only if they have the “same” fundamental (i.e. most compact) Cartan subgroup.

## 4 Basic Data

Fix  $\mathbb{G}$ . By Definition 3.2 an inner class of real forms is given by an involution  $\gamma \in \text{Out}(\mathbb{G})$ .

Thus our basic data will be a pair  $(\mathbb{G}, \gamma)$  where  $\gamma$  is an involution in  $\text{Out}(\mathbb{G})$ . By Section 2 we obtain  $(\mathbb{G}^\vee, \gamma^\vee)$ .

## 5 Principal and Distinguished Involutions

**Definition 5.1** *An involution  $\theta$  of  $\mathbb{G}$  is principal if the corresponding real group  $G$  is quasisplit, i.e. contains a Borel subgroup.*

**Lemma 5.2** *The following conditions are equivalent*

1.  $\theta$  is a principal involution
2. There is a  $\theta$ -stable Cartan subgroup  $\mathbb{T}$  with no imaginary roots,
3. There are a  $\theta$ -stable Cartan subgroup  $\mathbb{T}$  and a Borel subgroup  $\mathbb{B}$  containing  $\mathbb{T}$ , such that every simple root of  $\mathbb{T}$  is complex or non-compact imaginary.

K Every real form is inner to a quasiplit group:

**Lemma 5.3** *Any inner class of involutions contains a principal involution, which is unique up to conjugation by  $\mathbb{G}$ .*

That is given  $\theta_0 \in \text{Out}(\mathbb{G})$  there exists a principal involution  $\theta \in \text{Aut}(\mathbb{G})$  with image  $\theta_0$ , and if  $\theta, \theta'$  are two such, then  $\theta' = \text{int}(g)\theta\text{int}(g)^{-1}$  for some  $g \in \mathbb{G}$ .

**Definition 5.4** *An involution is said to be distinguished if there are  $\theta$ -stable Cartan and Borel subgroups  $\mathbb{T} \subset \mathbb{B}$  so that every simple imaginary root is compact (equivalently: every simple root is compact imaginary or complex). A real form is said to be distinguished if its Cartan involution is distinguished.*

Every real group has a distinguished inner form:

**Lemma 5.5** *Any inner class of involutions contains a distinguished involution, and any two such are conjugate by  $\mathbb{G}$ .*

## 6 Encoding real forms

Fix  $(\mathbb{G}, \gamma)$  as in Section 4. Let  $\Gamma = \{1, \sigma\} = \text{Gal}(\mathbb{C}/\mathbb{R})$ .

Choose a involution  $\theta_0$  in the inner class of  $\gamma$ . Consider the group  $\mathbb{G} \rtimes \Gamma$  where the action of  $\sigma$  on  $\mathbb{G}$  is by  $\theta_0$ . That is  $\text{int}(\sigma) = \theta_0$ .

Suppose  $\theta$  is a Cartan involution of a real form in the same inner class. Then  $\theta = \text{int}(g) \circ \theta_0$ . Let  $\delta = g\sigma \in \mathbb{G} \rtimes \Gamma - \mathbb{G}$ . Then

$$\theta = \text{int}(\delta).$$

That is every Cartan involution in this inner class is given by conjugation by an element of  $\mathbb{G} \rtimes \Gamma$ .

It is natural to take  $\theta_0$  to be either a principal involution or a distinguished involution in the inner class (cf. Section 5).

Note that

$$\delta^2 = g\sigma(g) \in Z(\mathbb{G})^\gamma$$

(cf. 1.5).

## 7 L-Groups: Version 1

Fix  $(\mathbb{G}, \gamma)$  as in Section 4.

Roughly speaking the L-group of  $\mathbb{G}$  is the semidirect product  $\mathbb{G}^\vee \rtimes \Gamma$  where  $\sigma$  acts on  $\mathbb{G}$  by a distinguished involution in the inner class of  $\gamma^\vee \in \text{Aut}(\mathbb{G}^\vee)$  (Definition 2.1).

More precisely we need to incorporate a conjugacy class of such splittings into the data:

**Definition 7.1** *An L-group for  $\mathbb{G}$  is a pair  $(\mathbb{G}^{\vee\Gamma}, \mathcal{S})$ , where  $\mathbb{G}^{\vee\Gamma}$  fits in an exact sequence*

$$1 \rightarrow \mathbb{G}^\vee \rightarrow \mathbb{G}^{\vee\Gamma} \rightarrow \Gamma \rightarrow 1$$

*and  $\mathcal{S}$  is a  $\mathbb{G}^\vee$ -conjugacy class of splittings of this exact sequence, such that for  $s \in \mathcal{S}$ ,  $\text{int}(s(\sigma))$  is a distinguished involution in the inner class of  $\gamma^\vee$ .*

**Remark 7.2** There is a unique quasisplit group  $G$  in the given inner class (in fact a unique strong inner form, cf. Section 9). This has a distinguished representation  $\pi_0$ : the spherical principal series with infinitesimal character 0.

The Weil group (cf. Section 16) maps to  $\Gamma$ , and therefore a homomorphism  $\phi : \Gamma \rightarrow \mathbb{G}^{\vee\Gamma}$  defines an irreducible representation of  $G$  (in fact an L-packet, which is a singleton in this case). There is not necessarily a distinguished homomorphism  $\phi : \Gamma \rightarrow \mathbb{G}^{\vee\Gamma}$ . The choice of L-group structure is such a homomorphism  $\phi$ , and the choice of L-group structure amounts to declaring that  $\phi$  corresponds to  $\pi_0$ .

## 8 Basic Data Revisited

Fix  $(\mathbb{G}, \gamma)$  as in Section 4. We obtain  $\mathbb{G}^\vee$  and  $\gamma^\vee \in \text{Out}(\mathbb{G})$  as in Section 2. We may therefore think of this as a quadruple

$$(\mathbb{G}, \gamma, \mathbb{G}^\vee, \gamma^\vee).$$

We may define  $(\mathbb{G}^{\vee\Gamma}, \mathcal{S}^\vee)$ , as in Section 7. The same definition applied to  $(\mathbb{G}^\vee, \gamma^\vee)$  gives us a group  $(\mathbb{G}^\Gamma, \mathcal{S})$ .

## 9 Strong Real Forms

Fix  $(\mathbb{G}, \gamma)$  as in Section 4, and  $\mathbb{G}^\Gamma, \mathbb{G}^{\vee\Gamma}$  as in Section 8. We apply the discussion of Section 6 to  $\mathbb{G}^\Gamma$ .

**Definition 9.1** *A strong real form of  $\mathbb{G}$  is an element  $x \in \mathbb{G}^\Gamma - \mathbb{G}$  satisfying  $x^2 \in Z(\mathbb{G})$ . We say two strong real forms  $x, x'$  are equivalent if  $x$  is  $\mathbb{G}$ -conjugate to  $x'$ .*

**Lemma 9.2** *If  $x$  is a strong real form of  $\mathbb{G}$  let  $\theta_x = \text{int}(x)$ . This is the Cartan involution of a real form in the inner class  $\gamma$ . This map is surjective onto the real forms in this inner class. If  $\mathbb{G}$  is adjoint it is a bijection.*

## 10 Representations

Fix  $(\mathbb{G}, \gamma)$  as in Section 4, Fix  $\mathbb{G}$ , an inner class  $\gamma \in \text{Out}(\mathbb{G})$ , and  $(\mathbb{G}^\Gamma, \mathbb{G}^{\vee\Gamma})$  as in Section 8.

**Definition 10.1** *A representation of a strong real form of  $\mathbb{G}$  is a pair  $(x, \pi)$  where  $x$  is a strong real form of  $\mathbb{G}$  and  $\pi$  is a  $(\mathfrak{g}, \mathbb{K}_x)$ -module.*

*We say  $(x, \pi)$  is equivalent to  $(x', \pi')$  if there exists  $g \in \mathbb{G}$  such that  $g x g^{-1} = x'$  and  $g \cdot \pi \simeq \pi'$ . Here  $g \cdot \pi(h) = \pi(g^{-1} h g)$  for  $h \in \mathbb{K}_{x'}$ , and  $g \cdot \pi(X) = \pi(\text{Ad}(g^{-1})X)$  for  $X \in \mathfrak{g}$ .*

Suppose  $\zeta$  is a distinguished isomorphism. Then  $\zeta$  induces bijections:

$$(10.2) \quad \Delta^\vee(\mathbb{G}, \mathbb{T}) \simeq \Delta(\mathbb{G}^\vee, {}^d\mathbb{T})$$

$$(10.3) \quad \Delta(\mathbb{G}, \mathbb{T}) \simeq \Delta^\vee(\mathbb{G}^\vee, {}^d\mathbb{T})$$

## 11 Distinguished Isomorphisms

Fix  $(\mathbb{G}, \gamma)$  as in Section 4, and  $((\mathbb{G}^\Gamma, \mathcal{S}), (\mathbb{G}^{\vee\Gamma}, \mathcal{S}^\vee))$  as in Section 8.

Suppose  $\mathbb{T}$  is a Cartan subgroup of  $\mathbb{G}$ , and  ${}^d\mathbb{T}$  is a Cartan subgroup of  $\mathbb{G}^\vee$ . By the construction of  $\mathbb{G}^\vee$  there are isomorphisms

$$X_*(\mathbb{T}^\vee) \simeq X_*({}^d\mathbb{T})$$



and

$$\mathbb{T}^\vee \simeq {}^d\mathbb{T}, \quad \mathfrak{t}^\vee \simeq {}^d\mathfrak{t}.$$

Given Borel subgroups  $\mathbb{B}, {}^d\mathbb{B}$  containing  $\mathbb{T}, {}^d\mathbb{T}$  respectively, we obtain isomorphisms

$$\zeta(\mathbb{B}, {}^d\mathbb{B}) : \mathbb{T}^\vee \simeq {}^d\mathbb{T}, \quad \mathfrak{t}^\vee \simeq {}^d\mathfrak{t}.$$

Also recall  $X^*(\mathbb{T}) = X_*(\mathbb{T}^\vee)$  and  $\mathfrak{t}^* = \mathfrak{t}^\vee$  (canonically). So  $\zeta$  may be interpreted as an isomorphism

$$(11.1) \quad \zeta : \mathfrak{t}^* \simeq {}^d\mathfrak{t}$$

**Definition 11.2** *We say an isomorphism  $\zeta : \mathbb{T}^\vee \simeq {}^d\mathbb{T}$  is distinguished if it is equal to  $\zeta(\mathbb{B}, {}^d\mathbb{B})$  for some  $\mathbb{B}, {}^d\mathbb{B}$ .*

Now suppose  $\theta$  is an involution of  $\mathbb{T}$ , and  ${}^d\theta$  is an involution of  ${}^d\mathbb{T}$ . Then (cf. Section 2)  $\theta^\vee$  is an involution of  $\mathbb{T}^\vee$ . Suppose  $\zeta : \mathbb{T}^\vee \simeq {}^d\mathbb{T}$  is a distinguished isomorphism. We define an involution  $\zeta^*(\theta)$  by carrying the involution  $\theta^\vee$  of  $\mathbb{T}^\vee$  to  ${}^d\mathbb{T}$  via  $\zeta$ , i.e.

$$\zeta^*(\theta)(t) = \zeta(\theta^\vee(\zeta^{-1}(t))) \quad (t \in {}^d\mathbb{T}).$$

## 12 Integral L-data

Fix  $(\mathbb{G}, \gamma)$  as in Section 4, and  $((\mathbb{G}^\Gamma, \mathcal{S}), (\mathbb{G}^{\vee\Gamma}, \mathcal{S}^\vee))$  as in Section 8.

Here is the data which will parametrize representations with integral infinitesimal character.

**Definition 12.1** *Fix  $(\mathbb{G}, \gamma)$  as in Section 4, and  $((\mathbb{G}^\Gamma, \mathcal{S}), (\mathbb{G}^{\vee\Gamma}, \mathcal{S}^\vee))$  as in Section 8.*

*A set of weak integral L-data is a 6-tuple  $(x, \mathbb{T}, \mathbb{B}, y, \mathbb{T}^\vee, \mathbb{B}^\vee)$  where*

1.  $\mathbb{T} \subset \mathbb{B} \subset \mathbb{G}$  are a Cartan and Borel subgroup, respectively,
2.  $x^2 \in Z(\mathbb{G})$ ,
3.  $\mathbb{T}$  is  $\theta_x$ -stable where  $\theta_x = \text{int}(x)$ ,

4.  $\mathbb{T}^\vee \subset \mathbb{B}^\vee \subset \mathbb{G}^\vee$  are a Cartan and Borel subgroup, respectively,
5.  $y^2 \in Z(\mathbb{G}^\vee)$ ,
6.  $\mathbb{T}^\vee$  is  $\theta_y^\vee$ -stable where  $\theta_y^\vee = \text{int}(y)$ ,
7. The isomorphism  $\zeta = \zeta(\mathbb{B}, \mathbb{B}^\vee)$  satisfies  $\zeta^*(\theta_x) = \theta_y^\vee$ ,

A set of (integral) L-data is a pair  $(S, \lambda)$  where  $S = (x, \mathbb{T}, \mathbb{B}, y, \mathbb{T}^\vee, \mathbb{B}^\vee)$  is a set of weak L-data,  $\lambda \in \mathfrak{t}^\vee$ , and  $\exp(2\pi i\lambda) = y^2$ .

If  $(S, \lambda)$  is a set of strong integral L-data let  $\zeta = \zeta(\mathbb{B}, {}^d\mathbb{B})$ , and identify  $\lambda$  with an element of  $\mathfrak{t}^*$  via (11.1).

## 13 L-data

Fix  $(\mathbb{G}, \gamma)$  as in Section 4, and  $((\mathbb{G}^\Gamma, \mathcal{S}), (\mathbb{G}^{\vee\Gamma}, \mathcal{S}^\vee))$  as in Section 8.

We generalize the construction of the previous section to include representations with non-integral infinitesimal character.

**Definition 13.1** Fix  $(\mathbb{G}, \gamma)$  as in Section 4, and  $((\mathbb{G}^\Gamma, \mathcal{S}), (\mathbb{G}^{\vee\Gamma}, \mathcal{S}^\vee))$  as in Section 8.

A set of weak L-data is a 6-tuple  $(x, \mathbb{T}, P, y, \mathbb{T}^\vee, P^\vee)$  where

1.  $\mathbb{T} \subset \mathbb{G}$  is a Cartan subgroup,
2.  $x^2 \in Z(\mathbb{G})$ ,
3.  $\mathbb{T}$  is  $\theta_x$ -stable where  $\theta_x = \text{int}(x)$ ,
4.  $P$  is contained in a set of positive roots of  $\Delta(\mathbb{T}, \mathbb{G})$ ,
5.  $\mathbb{T}^\vee \subset \mathbb{G}^\vee$  is a Cartan subgroup,
6.  $y^2 \in \mathbb{T}^\vee$
7.  $\mathbb{T}^\vee$  is  $\theta_y^\vee$ -stable where  $\theta_y^\vee = \text{int}(y)$ , an involution of  $\mathbb{G}_{y^2}^\vee = \text{Cent}_{\mathbb{G}^\vee}(y^2)$ ,
8.  $\mathbb{B}^\vee$  is a Borel subgroup of  $\mathbb{G}_{y^2}^\vee$  containing  $\mathbb{T}$ ,
9. There is a distinguished isomorphism  $\zeta$  satisfying:  $\zeta^*(\theta_x) = \theta_y^\vee$  and  $\Delta(\mathbb{B}^\vee, \mathbb{T}^\vee) = \{\zeta(\alpha^\vee) \mid \alpha \in P\}$ .

A set of L-data is a pair  $(S, \lambda)$  where  $S = (x, \mathbb{T}, \mathbb{B}, y, \mathbb{T}^\vee, \mathbb{B}^\vee)$  is a set of weak L-data,  $\lambda \in \mathfrak{t}^\vee$ , and  $\exp(2\pi i\lambda) = y^2$ .

If  $(S, \lambda)$  is a set of L-data let  $\zeta$  be any distinguished isomorphism as in (9). Then we identify  $\lambda$  with an element of  $\mathfrak{t}^*$  via (11.1).

## 14 Final Limit L-Data

Suppose  $X = (S, \lambda)$  is a set of L-data, integral or not. Associated to  $X$  is a standard representation  $I(X)$  of a real form of  $\mathbb{G}$ . Let  $J(X)$  be the socle of  $X$ , i.e. the set of irreducible subrepresentations of  $I(X)$ . If  $\lambda$  is regular then  $J(X)$  is a single irreducible representation. Otherwise this may fail.

For example  $I(X)$  might be the reducible principal series representation of  $SL(2, \mathbb{R})$  with infinitesimal character 0 and odd  $K$ -types; this is the direct sum of two limits of discrete series representations  $\pi^\pm$ . This realization as limits of discrete series shows how to obtain each  $\pi^\pm$  as some  $J(Y^\pm)$ .

We need to do this in general: put a restriction on the parameters which are allowed, so that  $J(X)$  is always irreducible, and we obtain every irreducible precisely once. There is the *final limit* construction of [9, Definition 2.4]. We describe the resulting formulation in terms of our parameters.

So suppose  $(S, \lambda)$  is a set of L-data as in Definition 13.1. As at the end of Section 13 choose a distinguished isomorphism  $\zeta : \mathfrak{t}^\vee \rightarrow \mathfrak{t}^*$  and use it to identify  $\lambda$  with an element of  $\mathfrak{t}^*$ . If  $\alpha \in \Delta(\mathbb{G}, \mathbb{T})$  is an imaginary root (with respect to  $\theta = \theta_x$  then  $\langle \lambda, \alpha^\vee \rangle \in \mathbb{Z}$ . It follows that  $\alpha \in P$ ; then  $P$  defines positive and simple roots of  $\Delta_{im}(\mathbb{G}, \mathbb{T})$ .

On the other hand  $B^\vee$  defines a set of positive roots for the set of imaginary roots (with respect to  $\theta_y^\vee$ ) of  $\Delta(\mathbb{G}_{y^2}^\vee, T^\vee)$ . We therefore have a notion of simple roots of  $\Delta_{im}(\mathbb{G}_{y^2}^\vee, T^\vee)$ .

**Definition 14.1** *Suppose  $(S, \lambda)$  is a set of L-data (Definition 13.1). We say  $(S, \lambda)$  is a set of final limit L-data if the following conditions hold.*

- *Suppose  $\alpha$  is a simple root of  $\Delta_{im}(\mathbb{G}, \mathbb{T})$  and  $\langle \zeta(\lambda), \alpha^\vee \rangle = 0$ . Then  $\alpha$  is non-compact.*
- *Suppose  $\beta$  is a simple roots of  $\Delta_{im}(\mathbb{G}_{y^2}^\vee, T^\vee)$  and  $\langle \lambda, \beta \rangle = 0$ . Then  $\beta$  is non-compact.*
- *(No condition on complex roots?)*

## 15 Parametrization of Representations

Fix  $(\mathbb{G}, \gamma)$  as in Section 4, and  $((\mathbb{G}^\Gamma, \mathcal{S}), (\mathbb{G}^{\vee\Gamma}, \mathcal{S}^\vee))$  as in Section 8.

Suppose  $(S, \lambda)$  is a set of strong L-data. Associated to  $(S, \lambda)$  is a standard  $(\mathfrak{g}, \mathbb{K}_x)$ -module  $I(S, \lambda)$  with infinitesimal character (the  $\mathbb{G}$ -orbit of)  $\lambda$ . As at the end of Section 13 choose a distinguished isomorphism  $\zeta : \mathfrak{t}^\vee \rightarrow \mathfrak{t}^*$  and use it to identify  $\lambda$  with an element of  $\mathfrak{t}^*$ .

**Theorem 15.1** *Suppose  $(x, \pi)$  is an irreducible representation of a strong real form of  $\mathbb{G}$  (Section 10) with regular infinitesimal character. Then  $\pi \simeq J(S, \lambda)$  for some  $S = (x, \dots)$  and  $\lambda$ . Two non-zero representations  $(x, J(S, \lambda))$  and  $(x, J(S', \lambda'))$  are isomorphic if and only if  $(S, \lambda)$  is  $\mathbb{G} \times \mathbb{G}^\vee$  conjugate to  $(S', \lambda')$ .*

### 15.1 General Infinitesimal Character

Let  $J(S, \lambda)$  be the socle of  $I(S, \lambda)$ , i.e. the direct sum of the irreducible subrepresentations of  $I(S, \lambda)$ . [Q: we need to define this using the translation principle?]

We say  $(S, \lambda)$  is  $M$ -regular if  $\langle \lambda, \alpha^\vee \rangle \neq 0$  for all imaginary roots (with respect to  $\theta_x$ ) of  $\Delta(\mathbb{G}, \mathbb{T})$  [there may be a  $\rho$ -shift missing here].

**Theorem 15.2** *Suppose  $(x, \pi)$  is an irreducible representation of a strong real form of  $\mathbb{G}$  (Section 10). Then there exists strong,  $M$ -regular, L-data  $(S, \lambda)$  so that  $\pi$  is a subrepresentation of  $J(S, \lambda)$ . If  $(S', \lambda')$  also satisfies these conditions then  $(S', \lambda')$  is  $\mathbb{G} \times \mathbb{G}^\vee$ -conjugate to  $(S, \lambda)$ .*

This gives a finite to one map from equivalence classes of strong,  $M$ -regular, L-data  $(S, \lambda)$  to equivalence classes  $(x, \pi)$  of representations of strong real forms of  $\mathbb{G}$ . This map is a bijection in the case of regular infinitesimal character. In Section 17 we will describe how to compute the fiber of this map.

## 16 Sketch of the Construction of $I(S, \lambda)$

Fix  $(\mathbb{G}, \gamma)$  as in Section 4, and  $((\mathbb{G}^\Gamma, \mathcal{S}), (\mathbb{G}^{\vee\Gamma}, \mathcal{S}^\vee))$  as in Section 8. Let  $(S, \lambda)$  be a set of L-data, where  $S = (x, \mathbb{T}, P, y, \mathbb{T}^\vee, \mathbb{B}^\vee)$ .

Recall the Weil group is  $W_{\mathbb{R}} = \langle \mathbb{C}^*, j \rangle$  where  $j^2 = -1$  and  $jzj^{-1} = \bar{z}$ .

The data  $(y, \mathbb{T}^\vee, \mathbb{B}^\vee, \lambda)$  defines an L-homomorphism  $\phi : W_{\mathbb{R}} \rightarrow \mathbb{G}^{\vee\Gamma}$  as follows:

$$(16.3) \quad \begin{aligned} \phi(z) &= z^\lambda \bar{z}^{Ad(y)\lambda} \\ \phi(j) &= \exp(-\pi i \lambda) \end{aligned}$$

where  $z^\lambda = \exp(\lambda \log(z))$  ( $z \in \mathbb{C}^* \subset W_{\mathbb{R}}$ ) (it requires a short argument that  $\phi(z)$  is well defined).

Then  $\phi : W_{\mathbb{R}} \rightarrow \langle \mathbb{T}^\vee, y \rangle$ . This is not necessarily isomorphic to the L-group of  $T$ . It is isomorphic to an E-group  $\mathbb{T}^\vee\Gamma$  of  $\mathbb{T}$ , and maps into  $\mathbb{T}^\vee\Gamma$  parametrize characters of the  $\rho$ -cover  $T(\mathbb{R})_\rho$  of  $T(\mathbb{R})$ .

The extra data in  $S$  gives us an isomorphism of  $\langle \mathbb{T}, y \rangle \simeq \mathbb{T}^\vee\Gamma$ , and hence a character  $\Lambda$  of  $T(\mathbb{R})_\rho$ .

For example in the case of a discrete series representation  $\Lambda$  is a character with differential the Harish-Chandra parameter  $\lambda$ ; recall that  $\lambda - \rho$  (and not necessarily  $\lambda$ ) exponentiates to the compact Cartan.

If  $\lambda$  is regular  $\Lambda$  is all that is needed to define a standard module  $I(\Psi, \Lambda)$  as in [5, Definition 8.27]. If  $\Lambda$  is singular an extra choice of positive real roots is necessary. This is included in the data of  $S$ .

The module  $I(\Psi, \Lambda)$  may be written as cohomological induction from a principal series representation of a quasisplit group  $L$ . The reducibility of  $J(S, \lambda)$  (for singular  $\lambda$ ) comes from the reducibility of the corresponding standard module for  $L$ .

Therefore the fiber of the map described in Theorem 15.2 is obtained from the discussion in the next section applied to  $L$ .

## 17 R-Groups

We need some definitions and results from [7, Chapter 4].

Suppose  $G$  is quasisplit and  $H = TA$  is the maximally split Cartan subgroup. Let  $M = \text{Cent}_G(A)$ ; this is an abelian group. We say a character  $\delta$  of  $M$  is fine if its restriction to  $(G^d \cap M)^0$  is trivial [7, Definition 4.3.8]. Let  $\Delta = \Delta(\mathfrak{g}, \mathfrak{a})$ , the (non-zero) real roots, and  $\bar{\Delta} \subset \Delta$  the reduced root system of  $\Delta$ . We say a root  $\alpha$  of  $\Delta$  is real if it is the restriction of a real root of  $\Delta(\mathfrak{g}, \mathfrak{h})$ , and complex otherwise.

$$(17.1) \quad W = \text{Norm}_K(A)/M$$

$$(17.2) \quad = W(\overline{\Delta}).$$

Let  $\overline{\Delta}_\delta$  be the good roots ([7, Definition 4.3.11]). That is

$$\overline{\Delta}_\delta = \{\alpha \in \overline{\Delta} \mid \alpha \text{ is complex or } \alpha \text{ is real and } \delta(m_\alpha) = 1\}$$

Fix  $\nu \in \hat{A}$ . As in [7, Definitions 4.3.13 and 4.4.9] define

$$\begin{aligned} W_\delta &= \text{Stab}_W(\delta) \\ W_\delta^0 &= W(\overline{\Delta}_\delta) \\ R_\delta &= W_\delta/W_\delta^0 \\ W(\nu) &= \text{Stab}_W(\nu) \\ W_\delta(\nu) &= \text{Stab}_W(\delta \otimes \nu) \\ W_\delta^0(\nu) &= \text{Stab}_{W_\delta^0}(\delta \otimes \nu) \\ R_\delta(\nu) &= W_\delta(\nu)/W_\delta^0(\nu) \subset R_\delta \\ R_\delta^\perp(\nu) &= \text{annihilator of } R_\delta(\nu) \text{ in } \widehat{R}_\delta \end{aligned}$$

Note that  $\widehat{R}_\delta/R_\delta^\perp(\nu) \simeq \widehat{R}_\delta(\nu)$ .

**Definition 17.3** *Suppose  $(S, \lambda)$  is a set of strong L-data. The R-group for  $S$ , denoted  $R(S, \lambda)$  is  $\widehat{R}_\delta(\nu)$  computed on  $L \dots$  [Assignment part 1: make this precise! It comes down to the real roots - a computation involving the principal series of the quasisplit group  $L$ ].*

If  $\lambda$  is regular then  $R(S, \lambda) = 1$ .

**Lemma 17.4** *The fiber of the map of Theorem 15.2 is naturally parametrized by  $R(S, \lambda)$  [Assignment part 2: so that this lemma holds].*

## 18 L-packets and Blocks

Fix  $(\mathbb{G}, \gamma)$  as in Section 4, and  $((\mathbb{G}^\Gamma, \mathcal{S}), (\mathbb{G}^{\vee\Gamma}, \mathcal{S}^\vee))$  as in Section 8.

Fix  $y, \mathbb{T}^\vee, \mathbb{B}^\vee$  as in the definition of L-data, and  $\lambda$  satisfying  $\exp(2\pi i\lambda) = y^2$ . Recall (Section 16) this data defines an L-homomorphism  $\phi : W_\mathbb{R} \rightarrow \mathbb{G}^{\vee\Gamma}$ .

We assume  $\lambda$  is regular.

**Definition 18.1** *An L–packet is the set of representation  $J(S, \lambda)$  where  $(S = (x, \mathbb{T}, P, y, \mathbb{T}^\vee, \mathbb{B}^\vee), \lambda)$  is a set of L–data.*

This is sometimes called a “super” L–packet; it includes representations on various strong real forms. Its restriction to a single strong real form is a conventional L–packet.

[Question: singular infinitesimal character?]

**Definition 18.2** *Fix  $x, y$  satisfying  $x^2 \in Z(\mathbb{G})$ . The  $\mathbb{Z}$ –spane of the representation  $J(S, \lambda)$  where  $(S = (x, \mathbb{T}, P, y, \mathbb{T}^\vee, \mathbb{B}^\vee), \lambda)$  is a set of L–data is a block.*

Again this is sometimes called a super–block. The restriction to a strong real form is a block. This is a minimal subspace of the Grothendieck group which is spanned by both irreducible and standard modules. Thus the Kazhdan–Lusztig polynomials are defined on blocks.

## 19 Example: $SL(2)$

Let  $\mathbb{G} = SL(2)$ . Then  $\text{Out}(\mathbb{G}) = 1$  so  $\gamma = 1$ . We have  $(\mathbb{G}, \gamma, \mathbb{G}^\vee, \gamma^\vee) = (SL(2), 1, PSL(2), 1)$ .

We fix some notation. Let  $\mathbb{B}^\pm$  be the upper and lower triangular matrices in  $SL(2)$  respectively. Let  $\mathbb{T}$  be the diagonal Cartan subgroup. Write  $\mathbb{B}^\pm$  and  $\mathbb{T}$  for  $PSL(2)$  as well. (We abuse notation slightly and write  $PSL(2)$  as  $2 \times 2$  matrices.)

Let  $t(z) = \text{diag}(z, 1/z)$ ,  $m_\rho = t(i)$ . Note that in  $PGL(2)$   $t(z) = t(-z)$ . Let  $\lambda(z) = \text{diag}(z, -z) \in \mathfrak{t}^\vee$ .

The group  $\mathbb{G}^{\vee\Gamma}$  is generated by  $\mathbb{G}^\vee$  and an element  $\delta^\vee$  satisfying  $(\delta^\vee)^2$  and  $\delta^\vee g \delta^{\vee-1} = m_\rho g m_\rho^{-1}$ .

The group  $\mathbb{G}^\Gamma$  is generated by  $\mathbb{G}$  and  $\delta$ , where  $\delta^2 = -I$  and  $\delta g \delta^{-1} = m_\rho g m_\rho^{-1}$ .

There is a unique L–group structure  $(\mathbb{G}^{\vee\Gamma}, \{\delta^\vee, \mathbb{B}^+\})$ . Here  $\{\delta^\vee, \mathbb{B}^+\}$  denotes the  $\mathbb{G}^\vee$  conjugacy class of  $(\delta^\vee, \mathbb{B}^+)$ .

There are two L–group structures  $(\mathbb{G}^\Gamma, \{\pm\delta, \mathbb{B}^+\})$ . Note that  $(\delta, \mathbb{B}^+)$  is conjugate to  $(-\delta, \mathbb{B}^-)$ . This corresponds to the fact that  $PGL(2, \mathbb{R})$  has two one–dimensional representations, and this choice amounts to choosing one of these. Dually this corresponds to choosing a discrete series representation of  $SL(2, \mathbb{R})$  with infinitesimal character  $\rho$ .

There are three inequivalent strong real forms of  $\mathbb{G}$ , given by  $x = \delta, \pm m_\rho \delta$ . The corresponding real groups are  $SL(2, \mathbb{R})$  and  $SU(2)$ , respectively. These may be thought of as  $SU(2, 0)$ ,  $SU(1, 1)$  and  $SU(0, 2)$ .

There are two inequivalent strong real forms of  $\mathbb{G}^\vee$ , since it is adjoint, corresponding to  $PGL(2, \mathbb{R})$  and  $SO(3)$ , respectively.

## 20 Other Parametrizations

There are several other ways to parametrize the standard and irreducible representations of real groups. The problem is how to conveniently write down characters of Cartan subgroups; disconnectedness is the main issue.

**Assignment:** Carefully write down how to go back and forth between these classifications.

**1**  $\theta$ -stable data  $(\mathfrak{q}, H, \delta, \nu)$  ([7, Definition 6.5.1]) This realizes the standard modules as derived functor modules from a minimal principal series of a quasipplit group  $L$ .

**2** Character data  $(H, \gamma)$  with  $\gamma = (\Gamma, \bar{\gamma})$ , [7, Definition 6.6.1]. Here  $\Gamma$  is a character of  $H$ , not of a two-fold cover as in (43). The infinitesimal character is  $\bar{\gamma}$ , which is  $d\Gamma + \text{a } \rho\text{-shift}$ .

**3** Cuspidal data  $(M, \delta, \nu)$  [7, Definition 6.6.11]. Here  $M$  is a real Levi factor and  $\delta$  is a (relative) discrete series representation of  $M$ . This is the original Langlands version of the classification.

**4**  $I(\Psi, \Lambda)$  ([5, Definition 8.27 and Theorem 8.29]) Here  $\Lambda$  is a character of the  $\rho$ -cover of  $H$ , and the infinitesimal character is  $d\Lambda$ .

## 21 Vogan Duality

The irreducible representations of strong real forms of  $\mathbb{G}$  are parametrized by integral  $L$ -data  $(x, \mathbb{T}, \mathbb{B}, y, \mathbb{T}^\vee, \mathbb{B}^\vee)$  with  $x^2 \in Z(\mathbb{G}), y^2 \in Z(\mathbb{G}^\vee)$ . This data is symmetric:  $(y, \mathbb{T}^\vee, \mathbb{B}^\vee, x, \mathbb{T}, \mathbb{B})$  is  $L$ -data with the roles of  $\mathbb{G}, \mathbb{G}^\vee$  reversed, and this defines a representation of a strong real form of  $\mathbb{G}$  with integral infinitesimal character. This realizes Vogan duality [8], analogous to duality for Verma modules given by multiplication by the long element of the Weyl group.

Now suppose  $\lambda$  is regular but not integral. Then  $L$ -data satisfies  $x^2$  is central in  $\mathbb{G}$ , but  $y^2$  is not necessarily central in  $\mathbb{G}^\vee$ . To recover Vogan duality



we have to allow  $x^2$  not central in  $\mathbb{G}$ . This can be done, but requires some extra work. See [4].

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